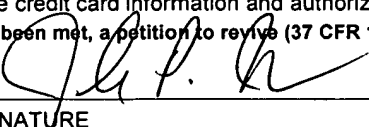


FORM PTO-1390 (REV. 02-2005)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 40072-0027	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/561211 To be assigned	
INTERNATIONAL APPLICATION NO. PCT/JP2004/008729		INTERNATIONAL FILING DATE June 21, 2004		PRIORITY DATE CLAIMED June 19, 2003	
TITLE OF INVENTION TRICYCLIC TRIAZOLOBENZAZEPINE DERIVATIVE PRODUCED AS NOVEL CRYSTALLINE SUBSTANCE					
APPLICANT(S) FOR DO/EO/US Shinichi KITAHARA; Toshihiro YAMAGUCHI					
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> had been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20. below concern document(s) or information included:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included <input checked="" type="checkbox"/> A preliminary amendment. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 132 and 37 CFR 1.821-1825 <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4) <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: Copy of PCT/ISA/210; PCT/IB/308; PCT/IB/301; PCT/IB/304 					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7) 10/561211		INTERNATIONAL APPLICATION NO. PCT/JP2004/008729		ATTORNEY'S DOCKET NUMBER 40072-0027	
21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee\$300.00 <input checked="" type="checkbox"/> b) Examination fee\$200.00 <input checked="" type="checkbox"/> c) Search fee.....\$500.00 TOTAL OF ABOVE CALCULATIONS =				CALCULATIONS PTO USE ONLY	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
TOTAL SHEETS	EXTRA SHEETS	NUMBER EXTRA (of each additional 50 or fraction thereof rounded up to a whole number)	RATE		
-100 =	50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	8 - 20 =	0	x \$50.00		
Independent claims	1 - 3 =	0	x \$200.0		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.0		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =				900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
TOTAL NATIONAL FEE =					
Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				40.00	
TOTAL FEES ENCLOSED =				940.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$940.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment To Deposit Account No. 08-1641 A duplicate coy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div>Customer No.: 26633</div> <div style="text-align: right;"> SIGNATURE  John P. Isacson, Reg. No. 33,715 NAME REGISTRATION NO.: 33,715 </div> <div style="text-align: right;"> Date December 19, 2005 </div> </div>					

<div>COMBINED FEE TRANSMITTAL for FY 2005 Effective 12/08/2004. Patent fees are subject to annual revision.</div> <div>PTO/SB/17 (12-04) (Revised) (For payment of 37 CFR 1.17 fees including (f), (g), (h), & (i))</div> <div><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</div> <div>TOTAL AMOUNT OF PAYMENT (S) 940.00</div>								<div>10/561211 IAP9 Rec'd PCT/PTO 19 DEC 2005</div> <table><tr><td>Application Number</td><td></td></tr><tr><td>Filing Date</td><td>December 19, 2005</td></tr><tr><td>First Named Inventor</td><td>Shinichi KITAHARA et al.</td></tr><tr><td>Examiner Name</td><td>To be assigned</td></tr><tr><td>Art Unit</td><td>To be assigned</td></tr><tr><td>Attorney Docket No.</td><td>40072-0027</td></tr></table>				Application Number		Filing Date	December 19, 2005	First Named Inventor	Shinichi KITAHARA et al.	Examiner Name	To be assigned	Art Unit	To be assigned	Attorney Docket No.	40072-0027																																																																																																																																																						
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<div>METHOD OF PAYMENT (check one)</div> <div><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None</div> <div><input type="checkbox"/> Deposit Account</div> <div>Deposit Account Number: 08-1641</div> <div>Deposit Account Name: Heller Ehrman White & McAuliffe LLP</div> <div>The Commissioner is authorized to: (check all that apply)</div> <div><input type="checkbox"/> Charge fee(s) indicated below</div> <div><input checked="" type="checkbox"/> Credit any overpayments and charge any deficiencies</div> <div><input type="checkbox"/> Charge any additional fee(s) during the pendency of this application</div> <div><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the deposit account</div>								<div>FEE CALCULATION (continued)</div> <div>4. PETITION FEES UNDER 37 CFR 1.17 (f) Fee Code: 1462 Fee \$ 400 For petitions filed under: § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)</div> <div>5. PETITION FEES UNDER 37 CFR 1.17 (g) Fee Code: 1463 Fee \$ 200 For petitions filed under: § 1.12; § 1.14; § 1.47; § 1.59; § 1.103(a); § 1.136(b); § 1.295; § 1.296; § 1.377; § 1.550(c); § 1.956; § 5.12; § 5.15; § 5.25</div> <div>6. PETITION FEES UNDER 37 CFR 1.17 (h) Fee Code: 1464 Fee \$ 130 For petitions filed under: § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314</div> <div>7. PROCESSING FEES UNDER 37 CFR 1.17 (i) Fee Code: 1808 (1803 for § 1.221) Fee \$ 130 For petitions filed under: § 1.28(c)(3); § 1.41; § 1.48; § 1.52(d); § 1.53(b)(3); § 1.55; § 1.99(e); § 1.103(b); § 1.103(c); § 1.103(d); § 1.217; § 1.221; § 1.291(c)(5); § 1.497(d); § 3.81</div> <div>8. OTHER FEES</div>																																																																																																																																																																					
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<div>SUBMITTED BY</div> <div>Name (Print/Type) John P. Isacson</div> <div>Registration No. (Attorney/Agent) 33,715</div> <div>Telephone 202-912-2000</div> <div>Signature [Signature]</div> <div>Date December 19, 2005</div> <div>Customer No. 26633</div> <div>Complete (if applicable)</div>																																																																																																																																																																													